

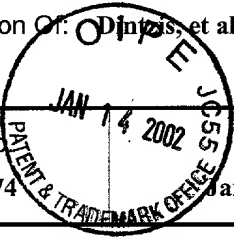
01-17-02 \$SECTOR

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
041828/0276361

In Re Application Of: *Opps, et al.*

Serial No.  
09/757,774



Filing Date  
January 9, 2001

Examiner  
NYA

Group Art Unit  
NYA

Title: THERAPEUTIC SUPPRESSION OF SPECIFIC IMMUNE RESPONSES BY ADMINISTRATION OF OLIGOMERIC FORMS OF ANTIGEN OF CONTROLLED CHEMISTRY

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- 1) Response to Notice to File Missing Parts of Application; 2) Copy of Notice to File Missing Parts of Application;  
3) Declaration and Power of Attorney; 4) 59 sheets of formal drawings; 5) Certificate of Express Mailing;  
and 6) reply postcard.

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 03-3975  
as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$65.00
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

*Robert M. Bedgood*  
Signature

Robert M. Bedgood  
Reg. No. 43,488

Dated: January 14, 2002

I hereby certify under 37 C.F.R. §1.10 that this document and corresponding transmittal documents are being deposited with the United States Postal Service as Express Mail Post Office on January 14, 2002, and is addressed to Commissioner for Patents, Washington, D.C. 20231.

Linda Anders  
Printed Name

EL 75703 7970 US  
Express Mail Label No.

*Linda Anders*  
Signature

cc: SF Docketing  
Roberta Miles



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/757,774	01/09/2001	Renee Z. Dintzis	07265-124005

CONFIRMATION NO. 8467

## FORMALITIES LETTER



\*OC000000007062121\*

GREGORY P. EINHORN  
Fish & Richardson P.C.  
Suite 500  
4350 La Jolla Village Drive  
San Diego, CA 92122

#4

Date Mailed: 11/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

A copy of this notice **MUST** be returned with the reply.

01/23/2002 SFELEH1 00000046 033975 0975774  
01 FC:205 65.00 CH



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



2002 JAN 14 10 10 AM

## Certificate of Express Mailing Under 37 C.F.R. §1.10

I hereby certify that this correspondence along with any paper referred to as being attached or enclosed, are being deposited with the United States Postal Service as Express Mail, Certificate No. EL 754037970 US, on the date shown below in an envelope addressed to the Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231.

Date: January 14, 2002

By: Linda Anders

Linda Anders



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

PATENT  
APPLICATIONCOMPLETION Under  
Rule 53(f)

In re PATENT APPLICATION of

Inventor(s): Dintzis, et al.

Attn: Application Division

Appl. No.:

09

757,774

Atty.Dkt.

P

041828

0276361

Series Code ↑

Serial No. ↑

M#

Client Ref

Filed: January 9, 2001

Title: THERAPEUTIC SUPPRESSION OF SPECIFIC IMMUNE RESPONSES BY ADMINISTRATION OF OLIGOMERIC FORMS OF ANTIGEN OF CONTROLLED CHEMISTRY

Hon. Commissioner of Patents  
Washington, DC 20231

Date: January 14, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy**\*\*A Petition to Correct Inventorship to Howard Dintzis and Renee Dintzis was previously filed in grand parent application Serial No. 08/391,267.****Accordingly, James K. Blodgett of Broomfield, Colorado; John C. Cheronis of Lakewood, Colorado; and Gary Kirschenheuter of Arvada, Colorado ARE NOT inventors for this application.**

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.3. ☐ Specification originally filed in non-English language; hence verified translation attached of:a. ☐ Abstractb. #  Pages of Specification (only spec. & claims)c. ☐ Drawing(s)

No of Sheets

☐ Fig(s).4. ☒ 59 sheets of formal drawing attached.5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	

7. **REIN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

8.

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_

10. Small Entity Status ☒ is Not claimed ☒ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☐ Attached:12. ☐ Preliminary Amendment**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee				Design Application	\$330/\$165	106/26
				Not Design Application	\$740/\$370	101/201
14. Total Effective Claims	32	minus 20 =	12	x \$18/\$9	+0	103/203
15. Independent Claims	5	minus 3 =	2	x \$84/\$42	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)					\$280/\$140	+0
17. Surcharge for filing Declaration/filing fee late					\$130/\$65	+65.00
18. FILING FEE ENCLOSED =					65.00	
19. Original due date: January 14, 2002						
20. Petition is hereby made to extend the original due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	115/215
is attached				(3mos)	\$920/\$460 =	116/216
				(4mos)	\$1,440/\$720 =	117/217
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee					\$130	+0
22. If "assignment" box 5 is X'd, add recording fee					\$40	+0
23. Petition Fee for					\$130	+0
24. TOTAL FEE ENCLOSED =					\$65.00	

Our Deposit Account No. 03-3975

Our Order No. 041828

C#

0276361

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

50 Fremont Street,  
P.O. Box 7880  
San Francisco, CA 94105  
Tel: (619) 234-5000

By Atty: Robert M. Bedgood

Reg. No. 43,488

Sig:

Fax: (858) 509-4065  
Tel: (858) 509-4010

Atty/Sec: RMB/lga

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Dintzis, et al.**

Docket No.

**041828/0276361**

Serial No.

**09/757,774**

Filing Date

**January 9, 2001**

Examiner

**NYA**

Group Art Unit

**NYA**Invention: **THERAPEUTIC SUPPRESSION OF SPECIFIC IMMUNE RESPONSES BY ADMINISTRATION OF OLIGOMERIC FORMS OF ANTIGEN OF CONTROLLED CHEMISTRY**I hereby certify that this **Response to Notice to File Missing Parts and Declaration and Power of Attorney**  
(Identify type of correspondence)is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.20231-0001 on **January 14, 2002**  
(Date)**Linda Anders**

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

**EL 754037970 US**

("Express Mail" Mailing Label Number)